

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Gregg S. Schmidtke, et al.

Confirmation No.:

Application No.: Unknown

Examiner: Unknown

Filing Date: Unknown

Group Art Unit: Unk

Title: ILLUMINATION SYSTEMS AND METHODS



COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL657275264US

Date of Deposit

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Mark D. Trenner

Typed Name: Mark D. Trenner

Respectfully submitted,

Gregg S. Schmidtke, et al.

By

Mark D. Trenner

Mark D. Trenner

Attorney/Agent for Applicant(s)

Reg. No. 43,961

Date: 12-6-01

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10019544-1

APPLICATION NO.

00/000,000

CONFIRMATION NO.

APPLICANT

Gregg S. Schmidtke, et al.

FILING DATE

Unknown

GROUP

Unk

jc781 U.S. PTO
10/008837

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,523,591	06-04-96	Fleming et al.	257	91
	1B	5,615,047	03-25-97	Komatsuda et al.	359	618
	1C	6,222,667 B1	04-24-01	Gobeli	359	323
	1D	6,246,826 B1	06-12-01	O'Keefe et al.	385	140
	1E	6,300,154 B2	10-09-01	Clark et al.	438	48
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	University of California, Space Sciences Laboratory "Cosmic Hot Interstellar Plasma Spectrometer: The Chips Instrument", last updated November 3, 1999, pages 1-7
1R	"Ocean Optics Introduces Fiber Optic Variable Attenuator" Ocean Optics, Inc., last modified November 26, 2001, 1 page
1S	"Fiber Optic Variable Attenuator" Ocean Optics, Inc., last modified November 26, 2001, 3 pages

EXAMINER

DATE CONSIDERED